

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

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K2 GLOBAL PARTNERS LLC,  
K2 INTELLIGENCE, INC. and  
K2 INTELLIGENCE, LLC,

Declaratory Judgment Plaintiffs,

v.

K2 ADVISORS, L.L.C. and  
K2/D&S MANAGEMENT CO., L.L.C.,

Declaratory Judgment Defendants.

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**RULE 7.1 STATEMENT**

Civil Action No.: 1:13CV5354 (PAE)

ECF CASE

Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for K2 GLOBAL PARTNERS LLC, K2 INTELLIGENCE, INC. and K2 INTELLIGENCE, LLC (a private non-governmental party) certifies that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held.

The undersigned counsel hereby discloses that: (a) K2 Global Partners LLC has no parent company; and (b) no publicly traded company owns 10% or more of K2 Global Partners LLC.

The undersigned counsel further discloses that: (a) the investment holding company of K2 Intelligence, Inc. is K2 Global Partners LLC; and (b) no publicly traded company owns 10% or more of K2 Intelligence, Inc.

The undersigned counsel further discloses that: (a) K2 Intelligence, Inc. is the parent corporation of K2 Intelligence, LLC; and (b) no publicly traded company owns 10% or more of K2 Intelligence, LLC.

Dated: August 23, 2013

By: /s/ Margaret C. Lu  
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